October 03, 2018

To,
Sr. General Manager,
Department of Corporate Services,
BSE Limited,
P.J. Towers, Dalal Street,
Mumbai - 400 001.

Dear Sir,

Sub: Submission of Statement of number of investor complaints pending, received, disposed of and remaining unresolved for the quarter ended September 30, 2018

Ref: Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

With reference to above, we hereby submit the statement for quarter ended September 30, 2018 in respect of number of investor complaints pending at the beginning of the quarter, those received during the quarter, disposed of during the quarter and those remaining unresolved at the end of the quarter.

<table>
<thead>
<tr>
<th>Investor complaints pending at the beginning of the quarter</th>
<th>Nil</th>
</tr>
</thead>
<tbody>
<tr>
<td>Investor complaints received during the quarter</td>
<td>Nil</td>
</tr>
<tr>
<td>Investor complaints disposed of the quarter</td>
<td>Nil</td>
</tr>
<tr>
<td>Investor complaints remaining unresolved at the end of the quarter</td>
<td>Nil</td>
</tr>
</tbody>
</table>

The Screenshot of “Compliants Status” as of October 03, 2018 i.e., date of filing this submission shown on SCORES website is enclosed as ‘Annexure - I’.

You are requested to take the same on record.

Thanking you,

Yours truly,
For IIFL Wealth Finance Limited

[Signature]
Company Secretary
Name: Manoj Gandhi
Membership No.: RC521201

Encl.: As above.

IIFL WEALTH FINANCE LIMITED
FORMERLY KNOWN AS CHEPIS CAPITAL MARKETS LIMITED

Corporate & Registered Office:
6th Floor, IIFL Centre, Kamala City, Senapati Bapat Marg,
Lower Parel (W), Mumbai - 400 013
Tel: (91-22) 39588000 | Fax: (91-22) 46464706
(In IIFL Group Company)
www.iiflwealthfinance.com

CIN: U65999MH1994PLC085646
ANNEXURE - I

Securities and Exchange Board of India

IIFL WEALTH FINANCE LIMITED

(Formerly known as CHEPHIS CAPITAL MARKETS LIMITED)

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