



July 04, 2017

To,  
Listing Compliance Department,  
BSE Limited,  
P.J. Towers, Dalal Street,  
Mumbai – 400 001.

Dear Sir/Madam,

**Sub: Submission of Statement of number of investor complaints pending, received, disposed of and remaining unresolved for the quarter ended June 30, 2017.**

**Ref: Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

With reference to above, we hereby submit the statement for quarter ended June 30, 2017 in respect of number of investor complaints pending at the beginning of the quarter, those received during the quarter, disposed of during the quarter and those remaining unresolved at the end of the quarter.

Investor complaints pending at the beginning of the quarter	Nil
Investor complaints received during the quarter	Nil
Investor complaints disposed of the quarter	Nil
Investor complaints remaining unresolved at the end of the quarter	Nil

The Screenshot of "Compliant Status" as of July 04, 2017, i.e., date of filing this submission shown on SCORES website is enclosed as 'Annexure – I'.

You are requested to take the same on record.

Thanking you,

Yours truly,  
For IIFL Wealth Finance Limited

  
Company Secretary

Name: Manoj Gujran

Membership No.: ACS 22201

Encl.: As above.

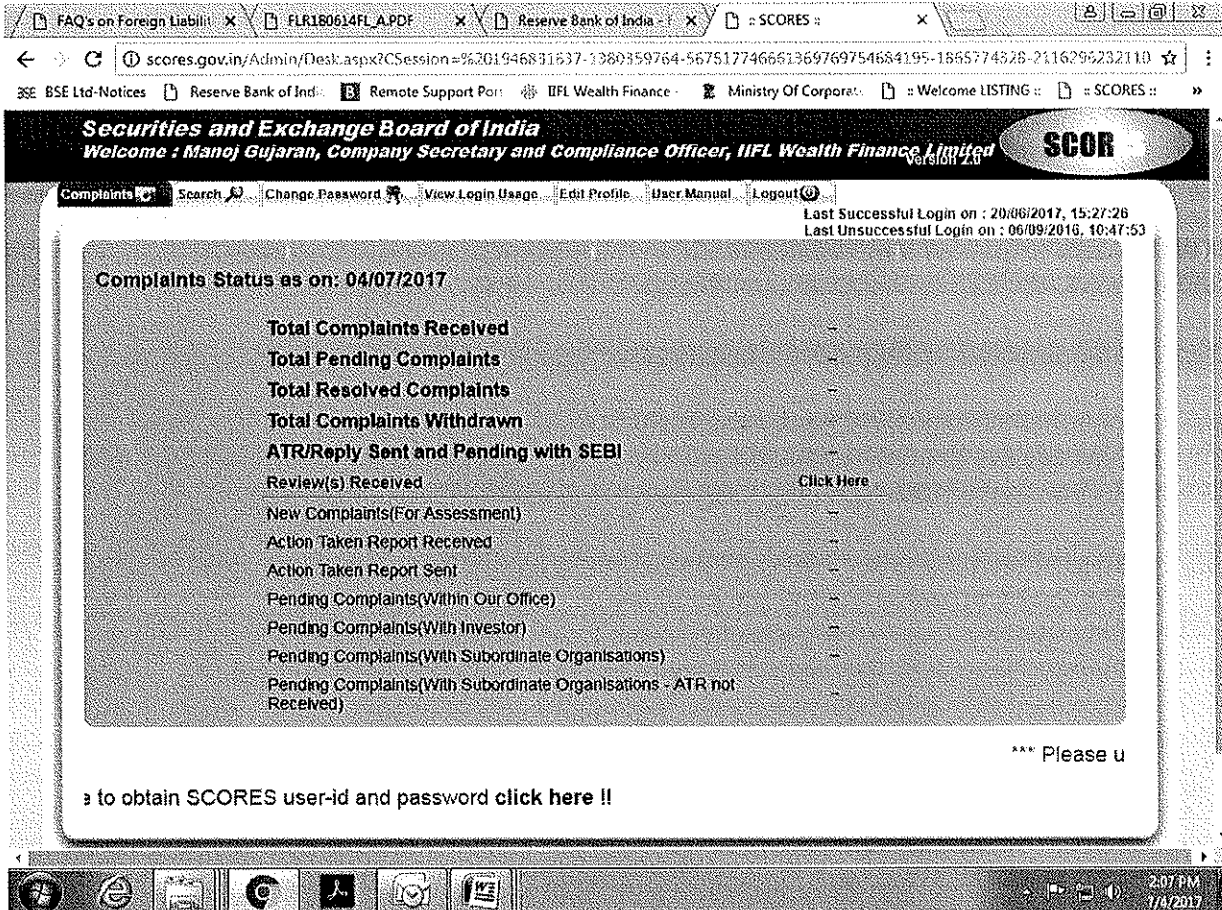
**IIFL WEALTH FINANCE LIMITED**  
(FORMERLY KNOWN AS CHEPHIS CAPITAL MARKETS LIMITED)

**Corporate & Registered Office:**  
6<sup>th</sup> Floor, IIFL Centre, Kamala City, Senapati Bapat Marg,  
Lower Parel (W), Mumbai – 400 013  
Tel: (91-22) 39585600 | Fax: (91-22) 46464706

(An IIFL Group Company)  
[www.iiflwealthfinance.com](http://www.iiflwealthfinance.com)

CIN:U65990MH1994PLC080646

**ANNEXURE – I**



FAQ's on Foreign Liabil... x FLR180614FL\_APDF x Reserve Bank of India - x SCORES :: x

scores.gov.in/Admin/Desk.aspx?CSession=%201546831637-1380359764-567517746651369769754654195-1845774526-2116295232110 ☆

BSE BSE Ltd-Notices Reserve Bank of Ind: Remote Support Por: IIFL Wealth Finance Ministry Of Corporat: Welcome LISTING :: SCORES :: »

**Securities and Exchange Board of India**  
Welcome : Manoj Gujran, Company Secretary and Compliance Officer, IIFL Wealth Finance Limited

**SCORES**

Complaints Search Change Password View Login Usage Edit Profile User Manual Logout

Last Successful Login on : 20/06/2017, 15:27:26  
Last Unsuccessful Login on : 06/09/2016, 10:47:53

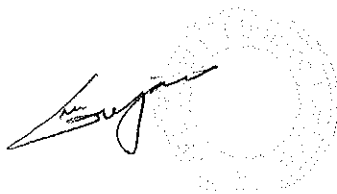
**Complaints Status as on: 04/07/2017**

Total Complaints Received	-
Total Pending Complaints	-
Total Resolved Complaints	-
Total Complaints Withdrawn	-
ATR/Reply Sent and Pending with SEBI	-
Review(s) Received	Click Here
New Complaints(For Assessment)	-
Action Taken Report Received	-
Action Taken Report Sent	-
Pending Complaints(Within Our Office)	-
Pending Complaints(With Investor)	-
Pending Complaints(With Subordinate Organisations)	-
Pending Complaints(With Subordinate Organisations - ATR not Received)	-

\*\*\* Please u

to obtain SCORES user-id and password click here !!

2:07 PM  
7/7/2017



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FAQ's on Foreign Liabili... x FLR180614FL\_A.PDF x Reserve Bank of India... x SCORES :: New Review x

← scores.gov.in/Areas/Review/NewReview/NewReview?CSession=1946831637-1380359764-567517746661369769754664195-1865774328 ☆

BSE BSE Ltd-Notices Reserve Bank of India Remote Support Por IIFL Wealth Finance Ministry Of Corpora Welcome LISTING SCORES

**SCORES**

New 0 Pending 0 ATR(s) 0 Clarification / Reply 0

Review / New Review

**New Reviews Received**

Copy Excel PDF Print Show 10 entries Search:

Registration No	Complainant Name	Date of Receipt	Complaint Against
No data available in table			

Showing 0 to 0 of 0 entries Previous Next

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2:07 PM 7/4/2017



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