



October 20, 2021

To,
BSL Limited
First Floor, P.J. Towers,
Dalal Street, Mumbai- 400001.

Subject: Corporate Governance Report for the quarter ended as on September 30, 2021

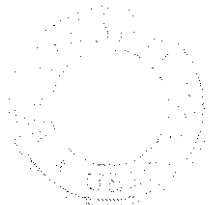
Dear Sir / Madam,

With reference to clause 27 of the Securities and Exchange Board of India (Listing Obligation and Disclosures Requirements) Regulations, 2015, we hereby enclose the Corporate Governance Report for the quarter ended as on September 30, 2021.

Kindly take the same on record and oblige.

Thanking You,

Yours faithfully,
For IIFL Wealth Prime Limited
(formerly known as IIFL Wealth Finance Limited)



Amit Bhandari
Company Secretary & Compliance Officer

Encl: as above

IIFL WEALTH PRIME LIMITED
(FORMERLY KNOWN AS IIFL WEALTH FINANCE LIMITED)

Corporate & Registered Office:
6th Floor, BH Centre, Karnaal City, Senapati Bapat Marg,
Lower Parel (W), Mumbai – 400 013
TEL: (91-22) 4876 5600 | FAX: (91-22) 4875 5606

(IIFL Wealth Group)
www.iiflwealthprime.com

CIN: U65990MH1994PLC080646

1. Name of Company: IIFL Wealth Prime Limited

2. Quarter ending: September 30, 2021

I. Composition of Board of Directors												
Title (Mr / Ms)	Name of the Director	PAN & DIN	Category of directors	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	Date of Birth	No of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]*	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]*	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity Refer Regulation 26(1) of Listing Regulations)
Mr.	Subbaraman Narayan	PAN: ABUPN5095R DIN: 00094081	Non-Executive - Independent Director	31-03-2017	21-07-2017	N.A.	54 Months	20-06-1943	4	4	5	3
Mr.	Himanshu Jain	PAN: ADQPJ2993L DIN: 02052409	Executive Director	04-10-2016	04-10-2016	N.A.	N.A.	19-04-1975	0	0	0	0
Mr.	Yatin Shah	PAN: AAMPS4225K DIN: 03231090	Executive Director	04-10-2016	22-01-2020	N.A.	N.A.	27-01-1976	1	0	1	0



Mr.	Karan Bhagat	PAN: AEBPB7249 B DIN: 03247753	Non-Executive - Non - Independent Director	11-06-2020	10-09-2020	N.A.	N.A.	25-04-1977	1	0	1	0
Mr.	Shantanu Rastogi	PAN: AGDPR8472 J DIN: 06732021	Non-Executive - Non - Independent Director	26-07-2016	26-07-2016	N.A.	N.A.	26-03-1979	1	0	2	0
Ms.	Rekha Warriar	PAN: AAAPW192 7E DIN: 08152356		01-08-2018	30-09-2019	N.A.	38 months	26-07-1957	1	1	3	1

**Directorship including only equity listed company*

II. Composition of Committee					
Name of Committee	Whether Regular Chairman appointed	Name of Committee Members	Category	Date of appointment	Date of Cession
1. Audit Committee	No	1. Subbaraman Narayan	1. Non-Executive - Independent Director	31-03-2017	
		2. Rekha Gopal Warriar	2. Non-Executive - Independent Director	01-08-2018	
		3. Shantanu Rastogi	3. Non -Executive Director	24-01-2017	
2. Nomination and Remuneration Committee	No	1. Rekha Warriar	1. Non-Executive - Independent Director	01-08-2018	
		2. Subbaraman Narayan	2. Non-Executive – Independent Director	31-03-2017	



		3. Karan Bhagat	3. Non-Executive - Non-Independent Director	11-06-2020	
3. Risk Management Committee	No	1. Himanshu Jain	1. Executive Director	04-10-2016	
		2. Rekha Warriar	2. Non-Executive – Independent Director	01-08-2018	
		3. Shantanu Rastogi	3. Non-Executive – Non-Independent Director	04-10-2016	
		4. R. Mohan	4. Non-Director	04-10-2016	
		5. Niraj Murarka	5. Non-Director	02-04-2016	
		6. Raghuvir Mukherji	6. Non-Director	30-01-2018	
4. Stakeholder Relationship Committee	Company is in process of forming the Stakeholder Relationship Committee in due course and will comply with the requirement before March 31, 2023.				

III. Meetings of Board of Director					
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of quorum met	Number of Directors present	Number of Independent Directors present	Maximum gap between any two consecutive
16-04-2021		Yes	5	1	72 days
17-05-2021		Yes	6	2	29 days
	02-07-2021	Yes	6	2	45 days
	02-08-2021	Yes	6	2	30 days
	03-09-2021	Yes	5	1	31 days
	13-09-2021	Yes	6	2	9 days



III. Meetings of Committees					
Date(s) of Meeting of the committee in the relevant quarter	Whether requirement of quorum met	Number of Directors present	Number of Independent Directors present	Date(s) of Meeting of the previous committee	Maximum gap between any two consecutive meetings in number of days
Audit Committee					
	Yes	2	2	16-04-2021	72 days
	Yes	3	2	17-05-2021	29 days
02-07-2021	Yes	3	2		45 days
02-08-2021	Yes	3	2		30 days
Nomination and Remuneration Committee					
Date(s) of Meeting of the committee in the relevant quarter	Whether requirement of quorum met	Number of Directors present	Number of Independent Directors present	Date(s) of Meeting of the previous committee	Maximum gap between any two consecutive meetings in number of days
	Yes	3	2	17-05-2021	Not Required to mentioned
Risk Management Committee					
Date(s) of Meeting of the committee in the relevant quarter	Whether requirement of quorum met	Number of Directors present	Number of Independent Directors present	Date(s) of Meeting of the previous committee	Maximum gap between any two consecutive meetings in number of days
	Yes	3	1	17-05-2021	Not required to mentioned
02-08-2021	Yes	3	1		

V. Related arty Transaction	
Subject	Compliance Status
Whether prior approval of audit Committee obtained	Yes
Whether Shareholders approval taken obtained for material RPT	N.A.
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	Yes



VI. Affirmations

1. The Compositions of Board of Directors is in terms of SEBI (Listing Obligations and Disclosures requirement) Regulations, 2015.
2. "The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee
 - b. Nomination & remuneration committee
 - c. Stakeholders relationship committee
 - d. Risk management committee- Company will comply and constitute / re-constitute the committees, wherever applicable, within the due course.
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. – Company will comply with the same in due course.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors – N.A., company will comply with the same in next quarter.

