

July 08, 2019

To,  
Sr. General Manager,  
Department of Corporate Services,  
BSE Limited,  
P.J. Towers, Dalal Street,  
Mumbai – 400 001.

Dear Sir,

**Sub:** Submission of Statement of number of investor complaints pending, received, disposed of and remaining unresolved for the quarter ended June 30, 2019.

**Ref:** Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

With reference to above, we hereby submit the statement for quarter ended June 30, 2019 in respect of number of investor complaints pending at the beginning of the quarter, those received during the quarter, disposed of during the quarter and those remaining unresolved at the end of the quarter.


Investor complaints pending at the beginning of the quarter	Nil
Investor complaints received during the quarter	Nil
Investor complaints disposed of the quarter	Nil
Investor complaints remaining unresolved at the end of the quarter	Nil

The Screenshot of "Compliants Status" as of July 08, 2019 i.e., date of filing this submission shown on SCORES website is enclosed as 'Annexure – I'.

You are requested to take the same on record.

Thanking you,

Yours truly,  
For IIFL Alternate Asset Advisors Limited

  
Compliance Officer  
Name: Chinmay Joshi



Encl.: As above.

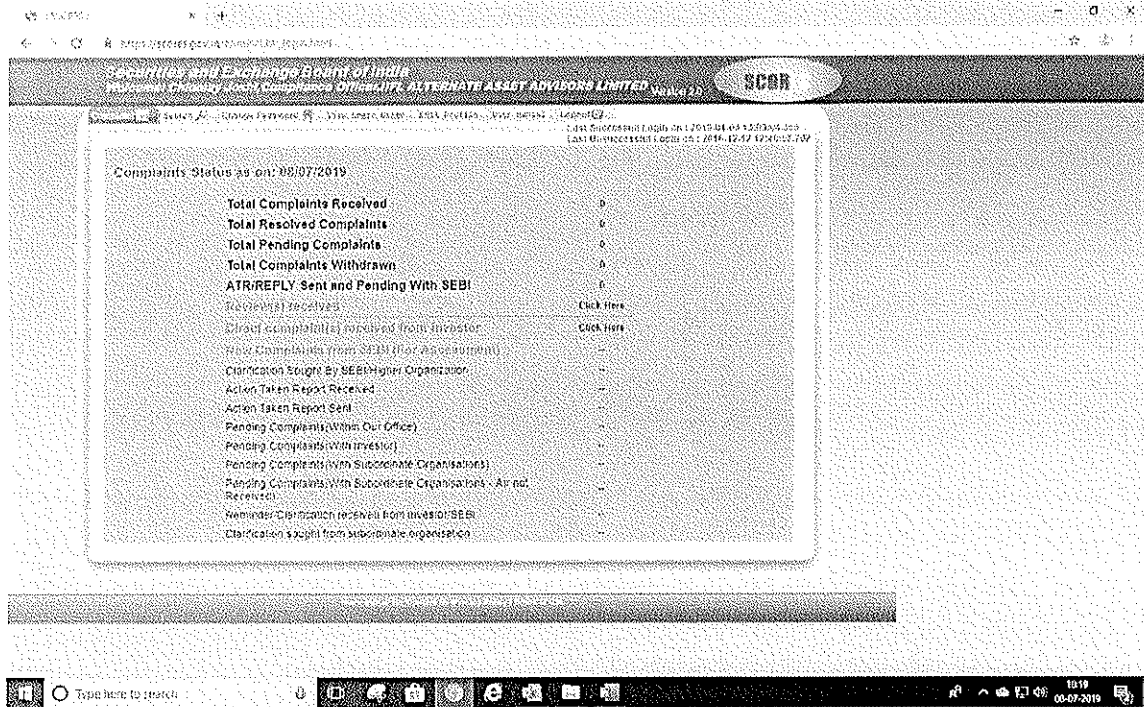
**IIFL ALTERNATE ASSET ADVISORS LIMITED**

Corporate & Registered Office:  
IIFL Centre, Kamala City, Senapati Bapat Marg,  
Lower Parcel West, Mumbai – 400 013  
TEL: (91-22) 4876 5600 | FAX: (91-22) 4875 5606

(An IIFL Group Company)

CIN: U74120MH2011PLC219936

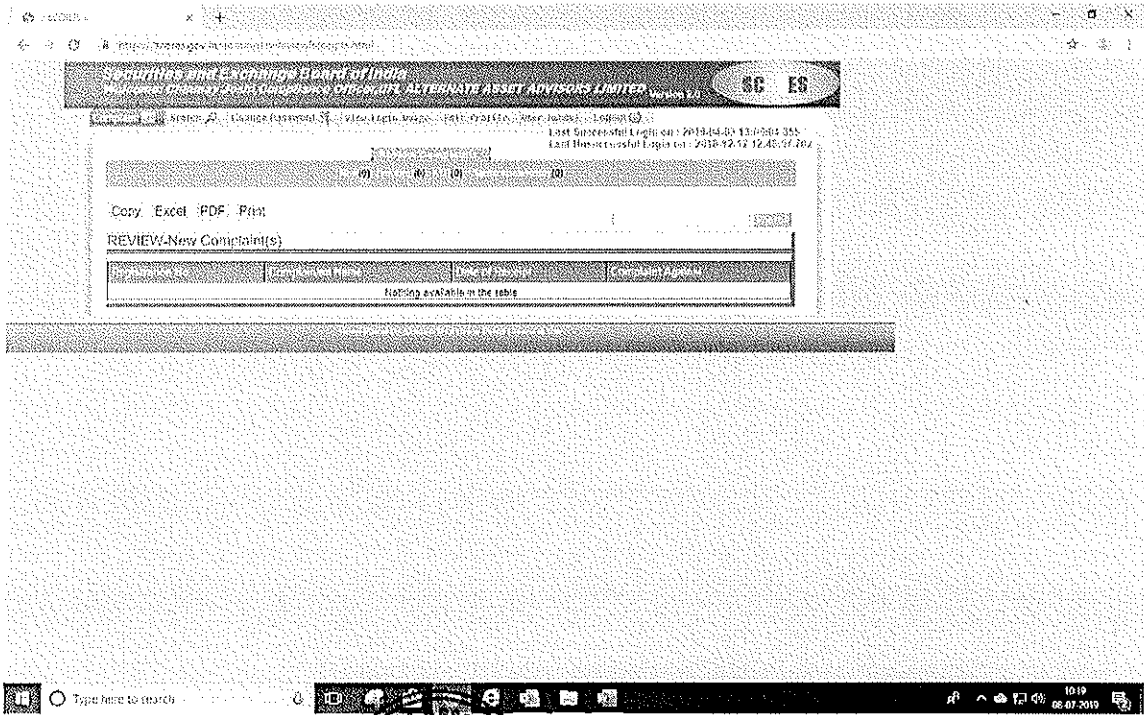
## ANNEXURE – I



**Securities and Exchange Board of India**  
**Alternate Asset Advisors Limited** **SCOR**

Complaints Status as on: 06/07/2019

Total Complaints Received	0
Total Resolved Complaints	0
Total Pending Complaints	0
Total Complaints Withdrawn	0
ATR/REPLY Sent and Pending With SEBI	0
Review(s) received	<a href="#">Click Here</a>
Direct Complaint(s) received from Investor	<a href="#">Click Here</a>
New Complaints from SEBI (New Assignments)	-
Clarification sought by SEBI Higher Organization	-
Action Taken Report Received	-
Action Taken Report Sent	-
Pending Complaints (Within Our Office)	-
Pending Complaints (With Investor)	-
Pending Complaints (With Subordinate Organisations)	-
Pending Complaints (With Subordinate Organisations - An not)	-
Review(s)	-
Reminder Clarification received from investor/SEBI	-
Clarification sought from subordinate organization	-



**Securities and Exchange Board of India**  
**Alternate Asset Advisors Limited** **SC ES**

Complaints Status as on: 06/07/2019

Copy, Excel, PDF, Print

**REVIEW New Complaint(s)**

Complaint No.	Investor Name	Investment Amount	Complaint Reason
Nothing available in the table			



**IIFL ALTERNATE ASSET ADVISORS LIMITED**

Corporate & Registered Office:

IIFL Centre, Kamala City, Senapati Bapat Marg,

Lower Parel West, Mumbai - 400 013

TEL: (91-22) 4876 5600 | FAX: (91-22) 4875 5606

(An IIFL Group Company)

CIN: U74120MH2011PLC219936

