

### April 03, 2019

To, Sr. General Manager, Department of Corporate Services, BSE Limited, P.J. Towers, Dalal Street, Mumbai – 400 001.

Dear Sir,

# Sub: <u>Submission of Statement of number of investor complaints pending, received, disposed of and</u> remaining unresolved for the quarter ended March 31, 2019.

# Ref: Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

With reference to above, we hereby submit the statement for quarter ended March 31, 2019 in respect of number of investor complaints pending at the beginning of the quarter, those received during the quarter, disposed of during the quarter and those remaining unresolved at the end of the quarter.

Investor complaints pending at the beginning of the quarter	Nil
Investor complaints received during the quarter	Nil
Investor complaints disposed of the quarter	Nil
Investor complaints remaining unresolved at the end of the quarter	Nil

The Screenshot of "Compliants Status" as of April 03, 2019 i.e., date of filing this submission shown on SCORES website is enclosed as 'Annexure -1'.

You are requested to take the same on record.

Thanking you,

Yours truly, For IIFL Alternate Asset Advisors Limited

288 Compliance Officer Name: Chinmay Joshi

Encl.: As above.

#### HFL ALTERNATE ASSET ADVISORS LIMITED

Corporate & Registered Office: IIFL Centre, Kamala City, Senapati Bapat Marg, Lower Parel West, Mumbai – 400 013 Tel: (91-22) 3958 5600 | Fax: (91-22) 4646 4706

(An HFL Group Company)

CIN: U74120MH2011PLC219930



# <u>ANNEXURE – I</u>

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