

April 24, 2019

To,
Listing Compliance Department,
BSE Limited,
P.J. Towers, Dalal Street,
Mumbai – 400 001.

Dear Sir/Madam,


Sub: Certificate by Practicing Company Secretary for the half year ended March 31, 2019 as per the Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Pursuant to the Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Certificate dated April 24, 2019 in accordance with Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, duly furnished by Shravan A. Gupta & Associates ("the Practicing Company Secretary") for the half year ended March 31, 2019.

Kindly take the same on record and acknowledge.

Thanking you,

Yours truly,
For IIFL Alternate Asset Advisors Limited


Chinmay Joshi
Compliance Officer



Encl.: As above.

IIFL ALTERNATE ASSET ADVISORS LIMITED

Corporate & Registered Office:
IIFL Centre, Kamala City, Senapati Bapat Marg,
Lower Parel West, Mumbai – 400 013
Tel: (91-22) 3958 5600 | Fax: (91-22) 4646 4706

(An IIFL Group Company)

CIN: U74120MH2011PLC219930

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI LISTING
OBLIGATIONS AND DISCLOSURE REGULATIONS, 2015
FOR THE HALF YEAR ENDED MARCH 31, 2019**

I have examined all Debenture Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s. IIFL Alternate Asset Advisors Limited maintained by M/s Link Intime (India) Private Limited pertaining to transfer of Debenture of the company for the period from October 1, 2018 to March 31, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, based on the information provided by the Company, I hereby certify that during half year ended on March 31, 2019:

- A) There has been no case received for Debenture Certificate(s) relating to the transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies of -Debentures during the period from October 1, 2018 to March 31, 2019 and therefore there has been no matter of issue of debenture certificates within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of deed.

Shravan A. Gupta & Associates
Practicing Company Secretary



Shravan A. Gupta
ACS: 27484, CP: 9990

Date: 24-04-2019
Place: Mumbai