

WhiteOak Capital Asset Management Limited (Formerly known as YES Asset Management (India) Limited)					
Commission structure : 1st July 2022 to 30th September 2022					
Category	Scheme	Investment Details	Load Structure		Trail p.a. (1st Yr to 3rd Yr)
			Entry Load	Exit Load	
Liquid	WhiteOak Capital Liquid Fund	Minimum application Rs.500 and in multiples of Re.1/-thereafter	Nil	Refer Note 1	0.10%
Debt	WhiteOak Capital Ultra Short Term Fund		Nil	NIL	0.65%
Debt	WhiteOak Capital Overnight Fund		Nil	NIL	0.05%

Note 1 : Exit Load for YES Liquid Fund							
Investor exit upon subscription (Days)	1	2	3	4	5	6	7 onwards
Exit load as % of Redemption proceeds (in%)	0.0070	0.0065	0.0060	0.0055	0.0050	0.0045	0.0000

The AMC/Trustee reserves the right to change / modify the Load structure of the Scheme, subject to maximum limits as prescribed under the Regulations.

- The commission rates are applicable for all purchases (including switch in, SIP, STP etc) made from 1st July 2022 to 30th September 2022 only under Regular Plan.
- The annualized Commission/ Brokerage indicated above is on a gross basis, and is inclusive of statutory levies and taxes, if any. The annualized commission is calculated on the basis of 'Daily Average Assets' on the NAV and paid on monthly basis by Mutual Fund subject to fulfilment of T & C as per empanelment form/ distributor agreement and would be net off any claw back, adjustment, refunds etc, if any.
- A GST registered distributor is required to issue a tax invoice for such tax amount.
- All the registered distributors have to submit the GST invoice on monthly basis before 15th of the payment month. The invoice submission is enabled in CAMS distributor login page.
- Commission will not be released until the amount payable exceeds Rs. 100 on or before the end of the fiscal year end and will be directly credited to your bank account provided at the time of empanelment.
- Decision of the WhiteOak Capital Asset Management Limited pertaining to brokerage calculation and the other matters pertaining thereto shall be final & binding.
- WhiteOak Capital Asset Management Limited reserves the right to change the applicable Brokerage rates as it may deem fit without any intimation / notification, to seek refund in case of Premature Redemptions / unexpired period for which commission is paid for Normal Purchases, Switch-Ins and for SIP's/STP's.
- Commission paid is subject to claw-back as per code of conduct issued by AMFI and SEBI Regulations amended from time to time. Distributors shall refund to AMC, either by set off against future commissions or payment, all incentives of any nature, including commissions received.
- The commission will be directly credited in the Bank Account, wherever applicable, as intimated by the Registered ARN Holder.
- Please refer to SEBI Circular No. SEBI/IMD/Cir No. 4/168230/09 dated June 30, 2009 regarding transparency in commission. You are requested to take note and act accordingly of paragraph 4 (d) of the said circular which states as follows: "The distributors should disclose all the commission (in form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor".
- The Commission structure indicated above is subject to review from time to time and the AMC reserves the right to change the commission structure/period without any prior intimation for various reasons including to meet the regulatory requirements.
- Effective September 1, 2010, AMFI has introduced the Know Your Distributor ("KYD") norms applicable to all the Mutual Fund Distributors for fresh ARN registration and ARN renewal. As advised by AMFI, advisors are required to be KYD complied failing to which payment of commission will be suspended in full.
- The commission structure is subject to EUIN regulations/ guidelines as specified by SEBI/ AMFI from time to time. Distributor shall adhere to all applicable SEBI regulation/ Circular on Code of Conduct for intermediaries and other guidelines issued by SEBI/ AMFI from time to time for Mutual Fund Distributor and ensure that no rebate is given to the investor in any form and there is no splitting of application for any benefit. WhiteOak Capital Asset Management Limited reserves the right to withhold the payment on account of non-adherence to regulatory guidelines, mis-selling and non-adherence to code of conduct or any reason that WhiteOak Capital Asset Management Limited may deem fit. You are required to submit a Declaration of Self Certification ("DSC") in the prescribed format latest by June 30 each year. In case of non-receipt of the DSC by June 30, payment of all types of commission shall be suspended till the requirements of furnishing the DSC are complied with.
- Distributors are requested to visit our website www.yesamc.in and read the latest Scheme Information Document (SID), Statement of Additional Information (SAI) and Key Information Memorandum (KIM) and various addendum issued from time to time to confirm the scheme details.
- Commission/ Brokerage Structure received from the email id: mfpartner@whiteoakamc.com shall be considered valid. AMC will not entertain or be liable/ obliged to consider commission/ Brokerage commission shared through any other EMAIL ID of WhiteOak Capital Asset Management Limited or its Employees.
- All your Queries related to brokerages should be written to mfpartner@whiteoakamc.com