

- 1. Name of Listed Entity: IIFL Wealth Management Limited
- 2. Scrip Code/Name of Scrip/Class of Security: IIFLWAM
- 3. Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on: 30-Sep-2020
- 5. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

#### IIFL WEALTH MANAGEMENT LIMITED

Corporate & Registered Office: IIFL Centre, Kamala City, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013 TEL: (91-22) 4876 5600 | FAX: (91-22) 4875 5606

www.iiflwealth.com



Table I - Summary Statement holding of specified securities

Catego ry (I)	Category of shareholder (II)	Nos. of shareh olders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	h	(	nch clas urities IX)	s of	No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share	Numl Lock sha (X	ed in res II)	SI pled oth encu	mber of hares dged or erwise mbered (XIII)	Number of equity shares held in dematerializ ed form
							As a % of (A+B+C2)	Clas s eg: X	of Voti Rights Clas s eg:y	Tot al	Total as a % of (A+B+ C)	Warrants) (X)	capital)  (XI)= (VII)+(X)  As a % of  (A+B+C2)	No. (a)	As a % of total Shar es held (b)	No. (a)	As a % of total Share s held (b)	(XIV)
A	Promoter & Promoter Group	14	2010017 2	0	0	201001 72	23.01	2010 0172	0	201 001 72	23.01	0	23.01	77226 47	38.42	0	0	20100172
В	Public	23316	6726318 4	0		672631 84	76.99	6726 3184	0	672 631 84	76.99	0	76.99	0	0			67223665
С	Non Promoter- Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	23330	8736335 6	0		873633 56	100	8736 3356		873 633 56	100	0	100	77226 47	8.84	0	0	87323837



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol	Numbe	er of Voti	na Riah	ts held in	No. of	Shareholdin	Numi	ber of	Nu	mber of	Number of
	of the	(11)	shareh	fully	paid-up	shares	nos.	ding %		ch class			Shares	g, as a %	Lock	red in	S	hares	equity
	Shareholders		older	paid	equity	underlying	shares	calculate		(	TX)		Underlying	assuming	sha	ares		dged or	shares held
	(1)		(III)	up equity	shares held	Depository Receipts	held (VII =	d as per SCRR,	-				Outstanding convertible	full conversion	- (×	(II)		nerwise umbered	in dematerializ
				share	(V)	(VI)	IV+V+VI)	1957					securities	of				(XIII)	ed form
				s held				As a % of	No of	Voting R	ights	Total as	(including	convertible	No.	As a	No.	As a	(XIV)
				(IV)				(A+B+C2)	1			a % of Total	Warrants) (X)	securities ( as a	(a)	% of total	(a)	% of total	
								(VIII)	Class	Class	Tot	Voting	, ,	percentage		Shar		share	
									X	Y	al	rights		of diluted share	1	es held		s held (b)	
														capital)		(b)		(2)	
														(XI) = (VII)+(X)  as a					
														% of A+B+C2					
														A+B+C2					
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals/Hi		12	19378	0	0	193787	22.18	19378	0	193	22.18	0	22.18	7572	39.0	0	0	19378744
	ndu undivided			744			44		744		787					8			
	Family			/					' ' '		44				017	U			
	•	A DD	1	62200	0	0	<b>600</b> 005	7.10	62200	0		7.10	0	7.10	0	0	0	0	<22005 c
		ABR		62288	U	ρ	622885	7.13	62288	U		7.13	O	7.13	0	0	0	0	6228856
		PJ923		56			6		56		885								
	Jain	5G									6								
	Yatin Shah	AAM	1	33105	0	0	331052	3.79	33105	0	331	3.79	0	3.79	3310	100	0	0	3310526
		PS42		26			6		26		052				526				
		25K									6								
	Iifl	AAD	1	15000	0	0	150000	1.72	15000	0		1.72	0	1.72	1500	100	0	0	1500000
	Investment	TK08		00			0	- · · · <u>-</u>	00		000			- · · · -	000	100			100000
		62B		00			O		00		000				000				
		02 <b>B</b>									U								
	Trustee																		
	Services																		
	Limited		1																
	(Trustee to																		
	Kush Family		1																
	Private Trust )																		
		AAD	1	15000	0	0	150000	1 72	15000	0	150	1.72	0	1.72	1500	100	0	0	1500000
	Investment	TK08	1	00	ľ	ľ	0	1.,2	00		000	1.,2	Ĭ	1.,2	000	100			150000
			1	00					00		000				UUU				
		64H									U								
	Trustee																		



Services																		
Limited (Trustee to																		
Kyra Family																		
Private Trust)																		
Jain	AAC TN78 96B		14285 71	0	0	142857 1	1.64	14285 71	0	142 857 1	1.64	O	1.64	0	0	0	0	142857
	ABEP J6034 E		13749 99	0	0	137499 9	1.57	13749 99	0	137 499 9	1.57	0	1.57	0	0	0	0	137499
n Rajamani	ADH PR66 33G		13492 04		0	134920 4		13492 04		920 4	1.54	0	1.54	0	0	0	0	134920
	AAD TK07 62E		12857 14	0	0	128571 4	1.47	12857 14	0	128 571 4	1.47	0	1.47	0	0	0	0	128571
Bhagat	AJYP B318 0H		11258 21	0	0	112582 1	1.29	11258 21	0	112 582 1	1.29	0	1.29	1125 821	100	0	0	112582
	AEBP B724 9B	1	24591 1	0	0	245911	0.28	24591 1	0	245 911	0.28	0	0.28	1363 00	55.4 3	0	0	245911
Athavankar	AAC PA00 90J	1	28571	0	0	28571	0.03	28571	0	285 71	0.03	0	0.03	0	0	0	0	28571
Shah	ACN PS36 20H	1	571	0	0	571	0	571	0	571	0	0	0	0	0	0	0	571
`	AAM FK71 28H	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Yatin Prakash Shah HUF	AAA HY74 18N		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	AAA	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0



					1							•							
	Chunilal Shah																		
	(partner to	84K																	
	Yatin																		
	Investments)																		
	Prakash	AAC	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Chunilal Shah	PS45																	
		98M																	
b	Central		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Government/																		
	State																		
	Government(s																		
	)																		
c	Financial		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Institutions/																		
	Banks																		
d	Any Other		2	72142	0	0	721428	0.83	72142	0	721	0.83	0	0.83	1500	20.7	0	0	721428
	(specify)			8					8		428				00	9			
	Person Acting		2	72142	0	0	721428	0.83	72142			0.83	0			41.8	0	0	721428
	in Concert			8					8		428				00				
	Ardent Impex	AAE	1	48571	0	0	485714	0.56	48571			0.56	0			20.5	0	0	485714
		CA76		4					4		714				00	9			
		31G													-				
	Orpheus	AAA	1	23571	0	0	235714	0.27	23571	0	235	0.27	0	0.27	5000	21.2	0	0	235714
		CO71		4					4		714			-	0	1			
		72N																	
	Sub-Total		14	20100	0	0	201001	23.01	20100	0	201	23.01	0	23.01	7722	38.4	0	0	20100172
	(A)(1)			172			72		172		001		-			2			
											72								
2	Foreign		0	0	0	0	0	0	0	0	_	0	0	0	0	0	0	0	0
a	Individuals		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(Non-																		
	Resident																		
	Individuals/																		
	Foreign																		
	Individuals)																		
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Institutions		0	0	0	0	0	0	0	Λ	Λ	0	0	0	0	0	Λ	0	0



d	Foreign	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Portfolio																	
	Investor																	
e	Any Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(specify)																	
	Sub-Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(A)(2)																	
	Total	14	20100	0	0	201001	23.01	20100	0	201	23.01	0	23.01	7722	38.4	0	0	20100172
	Shareholding		172			72		172		001				647	2			
	of Promoter									72								
	and Promoter																	
	Group (A)=																	
	(A)(1)+(A)(2)																	



Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held <u>VII =</u> <u>IV+V+V</u> I	Sharehol ding % calculate d as per SCRR, 1957	e	ach class (	of secu (IX)		No. of Shares Underlying Outstanding convertible securities	Total shareholdin g , as a % assuming full conversion	Loci sh	ber of ked in ares KII)	SI pled oth encu	mber of hares dged or erwise umbered (XIII)	Number of equity shares held in dematerializ ed form
				s held (IV)				As a % of (A+B+C2) VIII	No of  Class X	Class Y	Tot al	Total as a % of Total Voting rights	(including Warrants) (X)	of convertible securities (as a percentage of diluted share capital)	No. (a)	As a % of total Shar es held (b)	No. (No t ap pli ca ble )	As a % of total share s held (Not applic able)	(XIV)
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	(XI)	0	0	(a)	(b)	0
a	Mutual Funds/		6	11183 64	0	0	111836 4	1.28	11183 64	_	111 836 4		0	1.28	0	0			1118364
	Sundaram Mutual Fund A/C Sundaram Mid Cap Fund	AAA TS25 54B	1	91592 0	0	0	915920	1.05	91592 0	0	915 920	1.05	0	1.05	0	0			915920
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
С	Alternate Investment Funds		2	21684	0	0	21684	0.02	21684	0	216 84	0.02	0	0.02	0	0			21684
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio Investors		104	18391 253	0	0	183912 53	21.05	18391 253	0	183 912 53	21.05	0	21.05	0	0			18391253
	Smallcap World Fund,	AAB CS35 81L	1	42221 54	0	0	422215 4	4.83	42221 54	0	422 215 4	4.83	0	4.83	0	0			4222154
	Hwic Asia	AAA	1	40517	0	0	405179	4.64	40517	0	405	4.64	0	4.64	0	0			4051790



	Fund Class A Shares	CO45 04G		90			0		90		179 0						
	Reconnaissan	AAB CW5 771E	1	25988 51	0	0	259885 1	2.97	25988 51		259 885 1	2.97	0	2.97	0	0	2598851
		AAD CB40 40P	1	17997 46	0	0	179974 6	2.06	17997 46		179 974 6	2.06	0	2.06	0	0	1799746
f	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
g	Insurance Companies		2	32298 0	0	0	322980	0.37	32298 0		322 980	0.37	0	0.37	0	0	322980
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(1)		114	19854 281	0	0	198542 81	22.73	19854 281		198 542 81	22.73	0	22.73	0	0	19854281
2	Central Government/ State Government(s )/ President of India	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non- institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -		21695	92399 78	0	0	923997 8	10.58	92399 78		923 997 8	10.58	0	10.58	0	0	9232761
i	Individual		21670	40371	0	0	403715	4.62	40371	0	403	4.62	0	4.62	0	0	4029942



	shareholders			59			9		59		715						
	holding										9						
	nominal share																
	capital up to																
	Rs. 2 lakhs.																
ii	Individual		25	52028	0	0	520281	5.06	52028	0	520	5.96	0	5.96	0	0	5202819
11	shareholders		23	19	U	U	0	5.90	19	U	281	5.90	U	5.90	U	0	3202019
				19			9		19		201						
	holding										9						
	nominal share																
	capital in																
	excess of Rs.																
	2 lakhs.							_		_		_					
b	NBFCs		2	170	0	0	170	0	170	0	170	0	0	0	0	0	170
	registered																
	with RBI																
c	Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Trusts																
d	Overseas		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Depositories																
	(holding DRs)																
	(balancing																
	figure)																
e	Any Other		1505	38168	0	0	381687	43.69	38168	0	381	43.69	0	43.69	0	0	38136453
	(specify)			755			55		755		687						
											55						
	Bodies		296	42034	0	0	420341	0.48	42034	0	420	0.48	0	0.48	0	0	420341
	Corporate			1					1		341						
	Foreign		2	21679	0	0	21679	0.02	21679	0		0.02	0	0.02	0	0	21679
	Nationals										79						
	HUF		560	41547	0	0	41547	0.05	41547	0		0.05	0	0.05	0	0	41547
				1.20.7			1.20.7	0.00	120 .,		47	0.00		0.00			
	IEPF		1	3669	0	0	3669	0	3669	0	366	0	0	0	0	0	3669
			1	300)	O	Ů	5007		3003		9		O	Ŭ			3007
	Non-Resident		211	11271	0	0	112715	0.13	11271	0		0.13	0	0.13	0	0	112715
	Indian (NRI)			5			112,13		5		715			0.15			
	Amit Nitin	ACZP	1	15684	0	0	156842	1.8	15684	.0	156		0	1.8	0	0	1568421
		S4397		21			1	1.0	21		842			1.0			1300721
	Pilan	DTJ/		<u>~ 1</u>			1		<b>∠</b> 1		042	L					



	P								1						
Others		34	50954	0	0	50954	0.06	509540	509 54	0.06	0	0.06	0	0	50954
	AAE CG35 27D	1	18619 550	0	0	186195 50	21.31	186190 550	186 195 50	21.31	0	21.31	0	0	18619550
Fih Mauritius Investments	AAC CF52 36C	1	12091 635	0	0	120916 35	13.84	12091 0 635	120 916 35	13.84	0	13.84	0	0	1209163:
Rimco (Mauritius)	AAH CR20 23A	1	19940 00	0	0	199400 0	2.28	19940 0 00		2.28	0	2.28	0	0	1994000
Wf Asian Reconnaissan ce Fund Limited	AAB CW5 771E	1	13560 54	0	0	135605 4	1.55	13560 0 54	135 605 4	1.55	0	1.55	0	0	1356054
	AAK CA72 37L		10920 00	0	0	109200 0	1.25	10920 0 00	109 200 0	1.25	0	1.25	0	0	1092000
Trusts		3	36578	0	0	36578	0.04	365780	365 78	0.04	0	0.04	0	0	36578
Sub-Total (B)(3)		23202	47408 903	0	0	474089 03	54.27	474080 903	474 089 03	54.27	0	54.27	0	0	4736938
Total Public Shareholding (B)= (B)(1)+(B)(2) +(B)(3)		23316	67263 184	0	0	672631 84	76.99	67263 0 184	672 631 84	76.99	0	76.99	0	0	6722366



# Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numbe	er of Votin	a Riah	ts held in	No. of	Total	Num	ber of	Nun	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %		ch class o			Shares	shareholdin		red in		nares	equity
	Shareholders		older	paid	equity	underlying	held	calculate		(I.	X)		Underlying	g, as a %		ares		ged or	shares held
	<i>(I)</i>		(III)	up	shares	Depository	(VII =	d as per					Outstanding	assuming	()	(II)		erwise	in
				equity share	held (V)	Receipts	IV+V+VI)	SCRR, 1957					convertible securities	full				mbered XIII)	dematerializ ed form
				s held	(۷)	(VI)		As a % of	No of	Voting Ric	ahts	Total as	(including	conversion of	No.	Asa	No.	As a	(XIV)
				(IV)				(A+B+C2)	1100	voung rug	gino	a % of	Warrants)	convertible	740.	% of	(No	% of	(Not
				' '				(VIII)	1			Total	(X)	securities (		total	`t	total	Applicable)
									Class	Class	Tot	Voting		as a		Shar	ар	share	
									X	Υ	al	rights		percentage of diluted		es	pli	s held	
														share		held	ca ble	(Not applic	
														capital)			)	able)	
														(XI)				,	
1	Custodian/DR		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Holder																		
2	Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Benefit Trust																		
	(under SEBI																		
	(Share based																		
	Employee																		
	Benefit)																		
	Regulations,																		
	_																		
	2014)																		
	Total Non-		0	0	0	0	0		0	0	0	0	0		0	0			0
	Promoter-																		
	Non Public																		
	Shareholding																		
	(C)=																		
	(C)(1)+(C)(2)																		



Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign	Nationality	Details of hold	ding/ exercise of right of the	e SBO in the reporting compan	y, whether direct o	r indirect*	Date of creation / acquisition of
			national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	significant
1	Harshita Jain,	AWGPJ450		India	1.64	1.64	1.64			
	Trustee of	0N								
	Nirmal									
	Madhu									
	Family									
	Private Trust									
A	Harshita Jain			India	1.64	1.64	1.64	No	No	06-Jun-2019
	and	6B								
	Mansukhlal									
	Jain, Trustee									
	of Nirmal									
	Madhu									
	Family									
	Private Trust									
2	Mansukhlal	AACPJ7089		India	1.64	1.64	1.64			
	· · · · · · · · · · · · · · · · · · ·	G								
	of Nirmal									
	Madhu									
	Family									
	Private Trust									
A		AACTN789		India	1.64	1.64	1.64	No	No	06-Jun-2019
	and	6B								
	Mansukhlal									
	Jain, Trustee									
	of Nirmal									
	Madhu									
	Family									
2	Private Trust		545014011	TT ', 1	21.20	01.20	01.20			
3	William E		545814211	United	21.38	21.38	21.38			
	Ford			States of						
				America						



A	General	AAECG352	Singapore	21.38	21.38	21.38	No	No	25-Feb-2016
	Atlantic	7D							
	Singapore								
	Fund Pte Ltd.								
4	Nirmal	ABRPJ9235	India	8.28	8.28	8.28			
	Bhanwarlal	G							
	Jain and								
	Madhu N Jain								
	collectively								
A	Orpheus	AAACO717	India	0.21	0.21	0.21	No	No	06-Jun-2019
	Trading Pvt	2N							
	Ltd								
В	Ardent Impex	AAECA763	India	0.44	0.44	0.44	No	No	06-Jun-2019
	Pvt Ltd	1G							
C	Nirmal	ABRPJ9235	India	7.63	7.63	7.63	No	No	06-Jun-2019
	Bhanwarlal	G							
	Jain								
5	Madhu N Jain	ABEPJ6034	India	1.98	1.98	1.98			
		E							
A	Madhu N Jain	ABEPJ6034	India	1.98	1.98	1.98	No	No	06-Jun-2019
		E							



### Table II- Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,						
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.						
No. of shareholders	No of share held					

## Table III- Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.					
No. of shareholders	No of share held				

## Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding								
Name of shareholder	Name of PAC	No. of share	Holding %					

For IIFL Wealth Management Limited

Mihir Nanavati

**Chief Financial Officer** 

Date: 15/10/2020

#### IIFL WEALTH MANAGEMENT LIMITED

Corporate & Registered Office: IIFL Centre, Kamala City, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013 TEL: (91-22) 4876 5600 | FAX: (91-22) 4875 5606

www.iiflwealth.com