



January 14, 2019

To,
Sr. General Manager,
Department of Corporate Services,
BSE Limited,
P.J. Towers, Dalal Street,
Mumbai – 400 001.

Dear Sir,

Sub: Submission of Statement of number of investor complaints pending, received, disposed of and remaining unresolved for the quarter ended September 30, 2018

Ref: Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

With reference to above, we hereby submit the statement for quarter ended December 31, 2018 in respect of number of investor complaints pending at the beginning of the quarter, those received during the quarter, disposed of during the quarter and those remaining unresolved at the end of the quarter.

Investor complaints pending at the beginning of the quarter	Nil
Investor complaints received during the quarter	Nil
Investor complaints disposed of the quarter	Nil
Investor complaints remaining unresolved at the end of the quarter	Nil

The Screenshot of "Compliants Status" as of January 14, 2019 i.e., date of filing this submission shown on SCORES website is enclosed as 'Annexure – I'.

You are requested to take the same on record.

Thanking you,

Yours truly,
For IIFL Wealth Finance Limited


Company Secretary
Name: Manoj Gujarati
Membership No.: ACS 22201



Encl.: As above.

IIFL WEALTH FINANCE LIMITED
(FORMERLY KNOWN AS CHEPHIS CAPITAL MARKETS LIMITED)

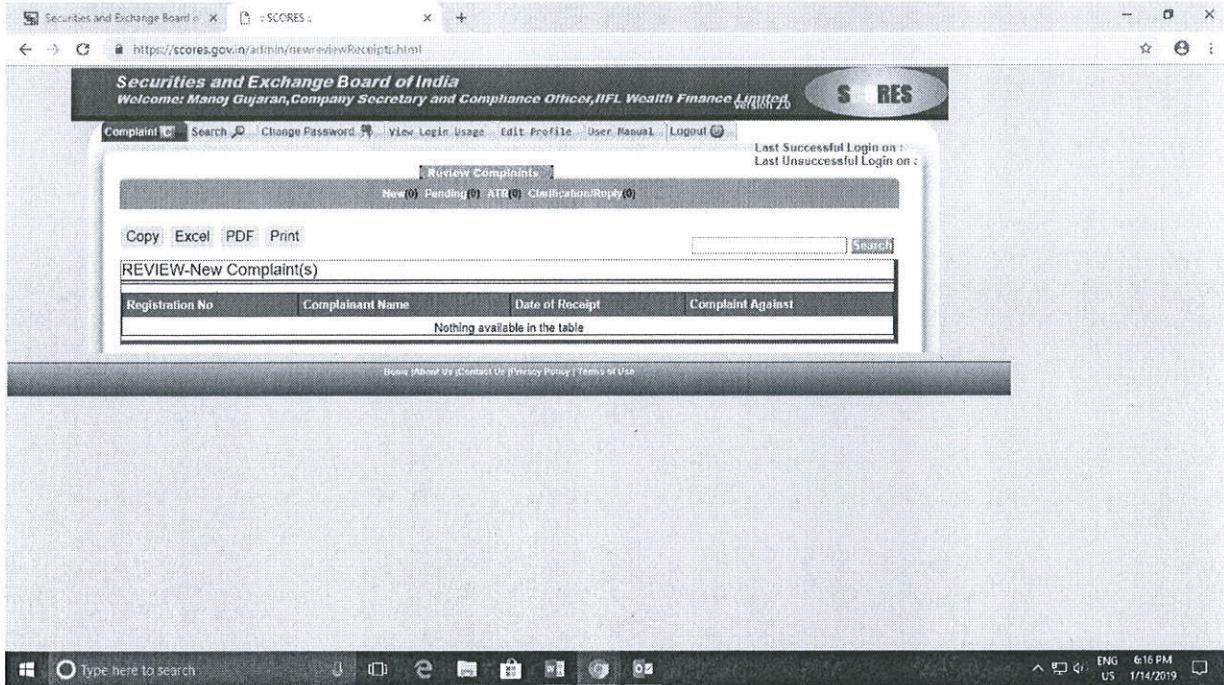
Corporate & Registered Office:
6th Floor, IIFL Centre, Kamala City, SenapatiBapat Marg,
Lower Parel (W), Mumbai – 400 013
Tel: (91-22) 39585600 | Fax: (91-22) 46464706

(An IIFL Group Company)
www.iiflwealthfinance.com

CIN: U65990MH1994PLC080646



ANNEXURE - I

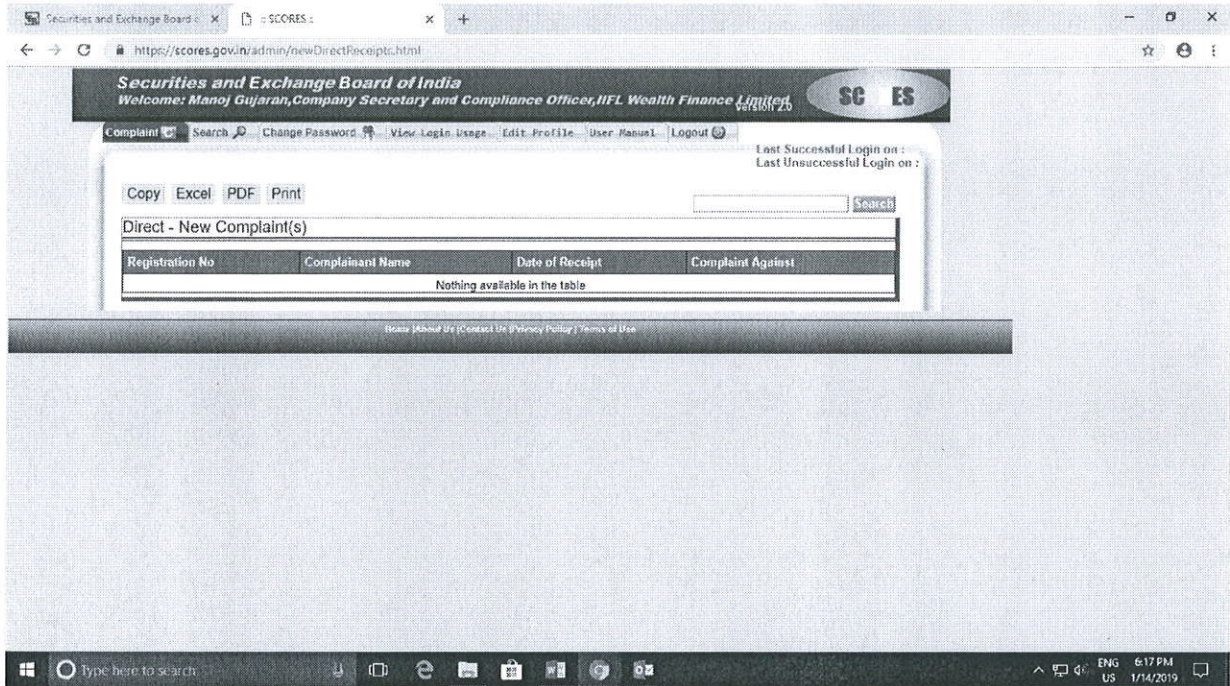


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Securities and Exchange Board of India
 Welcome: Manoj Gujran, Company Secretary and Compliance Officer, IIFL Wealth Finance Limited

Complaints Search Change Password View Login Usage Edit Profile User Manual Logout

Last Successful Login on :
 Last Unsuccessful Login on :

Complaints Status as on: 14/01/2019

Total Complaints Received	0
Total Resolved Complaints	0
Total Pending Complaints	0
Total Complaints Withdrawn	0
ATR/REPLY Sent and Pending With SEBI	0
Review(s) received	Click Here
Direct complaint(s) received from Investor	Click Here
New Complaints from SEBI (For Assessment)	-
Clarification Sought By SEBI/Higher Organization	-
Action Taken Report Received	-
Action Taken Report Sent	-
Pending Complaints (Within Our Office)	-
Pending Complaints (With Investor)	-
Pending Complaints (With Subordinate Organisations)	-
Pending Complaints (With Subordinate Organisations - Atr not Received)	-
Reminder/Clarification received from investor/SEBI	-
Clarification sought from subordinate organisation	-

Windows Taskbar: Type here to search, 6:16 PM, 1/14/2019

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